

02-05-02

Attorney's Docket No.: 11696-070002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 1638

Applicant: Ricardo Azpiroz et al.

Serial No.: 10/804,772 Examiner: Ashwin Mehta

Filed: March 18, 2004 Conf. No.: 8113

Title : DWF4 POLYNUCLEOTIDES, POLYPEPTIDES AND USES THEREOF

## MAIL STOP AMENDMENT

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## **INFORMATION DISCLOSURE STATEMENT**

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: Feb 2, 2007

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02/05/2007 TBESHAH1 00000095 10804772

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Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 11696-070002

Application No. 10/804,772

**Information Disclosure Statement** by Applicant (Use several sheets if necessary)

Applicant Ricardo Azpiroz et al.

Filing Date

Group Art Unit

1638

(37 CFR §1.98(b))

March 18, 2004

			U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,538,880	07/23/96	Lundquist et al.			
	AB	5,204,253	04/20/93	Sanford et al.			
	AC	5,591,616	01/07/97	Hiei et al.			
	AD	5,952,545	09/14/99	Koncz et al.			
	AE	6,329,571	12/11/01	Hiei			
	AF	6,545,200	04/08/03	Cahoon et al.			
	AG	6,987,025	01/17/06	Azpiroz et al.			
	AH	10/957,569	11/03/05	Zhihong et al.	-		
	AI	60/505,689	09/23/03	Zhihong et al.			
	AJ	60/544,771	02/13/04	Zhihong et al.			
	AK	60/558,869	04/01/04	Zhihong et al.	<del>-  </del>		
	AL	60/583,691	06/30/04	Alexandrov et al.			
	AM	60/637,140	12/16/04	Feldmann et al.			
	AN	60/518,075	11/06/03	Pennell et al.			

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	slation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AO	WO 97/35986	10/02/97	WIPO				
	AP	WO 99/32619	07/01/99	WIPO				
	AQ	WO 99/53050	10/21/99	WIPO				
	AR	WO 00/47715	08/17/00	WIPO				
	AS	WO 01/75164	10/11/01	WIPO				
	AT	WO 02/46449	06/13/02	WIPO				

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document		
	AU	GenBank Accession No. AF044216, Dated June 25, 2001		
	AV	GenBank Accession No. AL049659, Dated November 14, 2006		

**Examiner Signature** 

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11696-070002	Application No. 10/804,772
Information Disclosure Statement by Applicant (Use several sheets if necessary)  (37 CFR \$1.98(b))		Applicant Ricardo Azpiroz et al.	
		Filing Date March 18, 2004	Group Art Unit 1638

	Other D	ocuments (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document		
	AW	GenBank Accession No. D64003, Dated July 4, 2001		
	AX	GenBank Accession No. M13785, Dated April 27, 1993		
	AY	GenBank Accession No. P48418, Dated November 28, 2006		
·	AZ	GenBank Accession No. P48421, Dated January 23, 2007		
	AAA	GenBank Accession No. U32579, Dated September 15, 1995		
	ABB	GenBank Accession No. U54770, Dated October 18, 1996		
	ACC	GenBank Accession No. U68234, Dated November 22, 1996		
	ADD	GenBank Accession No. X70981, Dated January 18, 1994		
	AEE	GenBank Accession No. X71658, Dated April 18, 2005		
	AFF	GenBank Accession No. X87368, Dated November 14, 2006		
	AGG	GenBank Accession No.: AC104473, dated October 30, 2002		
	АНН	Adams et al. "Parent-of-origin effects on seed development in <i>Arabidopsis thaliana</i> require DNA methylation" <u>Development</u> , 2000, 127:2493-2502		
	AII	Armaleo et al., "Biolistic nuclear transformation of Saccharomyces cervisiae and other fungi" <u>Current Genetics</u> , 1990 17:97-103		
	AJJ	Asami et al. "Selective interaction of triazole derivative with DWF4, a cytochrome P450 monooxygenase of the brassinosteriod biosynthetic pathway, correslates with brassinosteroid deficiently <i>in plant</i> " The Journal of Biological Chemistry, July 13, 2001, 276(26):25687-25691		
	AKK	Bateman et al., "Pfam 3.1: 1313 multiple alignments and profile HMMS match the majority of proteins" Nucl. Acids Res., 1999, 27:260-262		
	ALL	Bishop and Koncz, "Brassinosteroids and Plant Steroid Hormone Signaling," The Plant Cell, 2002, pp.S97-S110		
	AMM	Bonner et al. "Reduction in the rate of DNA reassociation by sequence divergence" <u>J. Mol. Biol.</u> , 1973, 81:123		
	ANN	Busk, "Regulatory elements in vivo in the promoter of the abscisic acid responsive gene rab17 from maize" Plant J., 1997, 11:1285-1295		
	AOO	Dhaubhadel et al., "Brassinosteroid functions to protect the translational machinery and heat-shock protein synthesis following thermal stress," The Plant Journal, 2002, 29(6):681-691		
	APP	Escudero et al., "T-DNA transfer in meristematic cells of maize provided with intracellular Agrobacterium" The Plant Journal, 1996, 10(2):355-360		
	AQQ	Guatelli et al., "Isothermal, in vitro amplification of nucleic acids by a multienzyme reation modeled after retroviral replication" Proc. Natl. Acad. Sci. USA, 1990, 87:1874-1878		
	ARR	He et al., "BZR1 is a transcriptional repressor with dual roles in brassinosteroid homeostasis and growth responses," Science, 2005, 307:1634		
	ASS	Herrea-Estrella et al., "Chimeric genes as dominant selectable markers in plant cells" The EMBO Journal, 1983, 2(6):987-995		

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office		Application No. 10/804,772	
by A	closure Statement oplicant	Applicant Ricardo Azpiroz et al.		
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date March 18, 2004	Group Art Unit 1638	

(	Other D	ocuments (include Author, Title, Date, and Place of Publication)			
Examiner Initial	Desig. ID	Document			
	ATT	Hong et al., "A rice brassinosteroid-deficient mutant, ebisu dwarf (d2), is caused by a loss of function of a new member of cytochrome P450," Plant Cell, 2003, 15:2900-2910			
	AUU	Hwang and Goodman, "An Arabidopsis thaliana root-specific kinase homolog is induced by dehydration, ABA, and NaCI" Plant J., 1995, 8:37			
	AVV	Hyrup et al., "Peptide Nucleic Acids (PNA): Synthesis, Properties and Potential Applications"  Bioorgan. Med. Chem., 1996, 4(1):5			
	AWW	Ishida et al., "High efficiency transformation of maize (Zea mays L.) mediated by Agrobacterium tumefaciens" Nature Biotechnology, 1996, 14:745-750			
	AXX	Kankel et al., "Arabidopsis MET1 Cytosine Methyltransferase Mutants," Genetics, 2003, 163:1109			
	AYY	Lewis, Genetic Engineering News, 1992, 12:1			
	AZZ	May et al., "Generation of Transgenic Banana (Musa acuminata) Plants via Agrobacterium- Mediated Transformation" Biotechnology, 1995, 13:486-492			
	AAAA	Paquette, et al., "Intron-Exon Organization and Phylogeny in a Large Superfamily, the Paralogous Cytochrome P450 Genes of <i>Arabidopsis thaliana</i> ", <u>DNA and Cell Biology</u> , November 5, 2000, 19:307-317			
	ABBB	Ronemus et al., "Demethylation-Induced Developmental Pleiotropy in Arabidopsis," <u>Science</u> , 1996, 273:654-656			
	ACCC	Salomon et al., "Genetic identification of functions of TR-DNA transcripts in octopine crown galls" The EMBO Journal, 1984, 3(1):141-146			
	ADDD	Schuler et al., "Functional Genomics of P450s" Annu. Rev. Plant. Biol., 2003, 54:629-667			
	AEEE	Sonnhammer et al., "Pfam: multiple sequence alignments and HMM-profiles of protein domains" Nucl. Acids Res., 1998, 26: 320-322			
	AFFF	Sonnhammer et al., :Pfam: A Comprehensive Database of Protein Domain Families Based on Seed Alignments" Proteins, 1997, 28:405-420			
	AGGG	Summerton and Weller, "Morpholino Antisense Oligomer: Design, Preparation, and Properties" <u>Antisense Nucleic Acid Drug Dev.</u> , 1997, 7(3):187-195			
	АННН	Tanabe et al., "A novel cytochrome P450 is implicated in brassinosteroid biosynthesis via the characterization of a rice dwarf mutant, dwarf11, with reduced seed length," Plant Cell, 2005, 17:776-790			
	AIII	Vinkenoog et al., "Hypomethylation Promotes Autonomous Endosperm Development and Rescues Postfertilization Lethality in fie Mutants," The Plant Cell, 2000, 12:2271-2282			
	AJJJ	Weiss, "Hot Prospect for New Gene Amplifier," Science, 1991, 254:1292-1293			

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